

SECURITIES AND EXCHANGE COMMISSION

FORM 13F-HR

Initial quarterly Form 13F holdings report filed by institutional managers

Filing Date: **2011-11-07** | Period of Report: **2011-09-30**
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FILER

Hollow Brook Associates LLC

CIK: **1455251** | IRS No.: **680665285** | State of Incorp.: **NY** | Fiscal Year End: **1231**
Type: **13F-HR** | Act: **34** | File No.: **028-13354** | Film No.: **111185430**

Mailing Address
551 MADISON AVE
8TH FLOOR
NEW YORK NY 10022

Business Address
551 MADISON AVE
8TH FLOOR
NEW YORK NY 10022
212-364-1846

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Form 13F

Form 13F COVER PAGE

Report for the Calendar Year or Quarter Ended: September 30, 2011

Check here if Amendment []; Amendment Number:
This Amendment (Check only one.): [] is a restatement.
[] adds new holdings entries.

Institutional Investment Manager Filing this Report:

Name: Hollow Brook Associates LLC
Address: 551 Madison, 8th Floor
New York, NY 10022

13F File Number: 028-13354

The institutional investment manager filing this report and the person by whom it is signed hereby represent that the person signing the report is authorized to submit it, that all information contained herein is true, correct and complete, and that it is understood that all required items, statements, schedules, lists, and tables, are considered integral parts of this form.

Person Signing this Report on Behalf of Reporting Manager:

Name: Philip E. Richter
Title: President & Chief Operating Officer
Phone: (212) 364-1848

Signature, Place, and Date of Signing:

/s/ Philip E. Richter New York, NY November 07, 2011

Report Type (Check only one.):

- [X] 13F HOLDINGS REPORT.
[] 13F NOTICE.
[] 13F COMBINATION REPORT.

FORM 13F SUMMARY PAGE

Report Summary:

Number of Other Included Managers: 0
Form 13F Information Table Entry Total: 58
Form 13F Information Table Value Total: \$170,770 (thousands)

List of Other Included Managers:

Provide a numbered list of the name(s) and Form 13F file number(s) of all institutional managers with respect to which this report is filed, other than the manager filing this report.

NONE

NAME OF ISSUER	TITLE OF CLASS	CUSIP	FORM 13F INFORMATION TABLE					VOTING AUTHORITY			
			VALUE (x\$1000)	SHARES/ PRN	SH/ AMT	PUT/ CALL	INVSTMT DISCRETN	OTHER MANAGERS	SOLE	SHARED	NONE
AG MTG INVT TR INC	COM	001228105	220	11752	SH		SOLE		0	0	11752
AGNICO EAGLE MINES LTD	COM	008474108	4241	71246	SH		SOLE		0	0	71246
AMTECH SYS INC	COM PAR \$0.01N	032332504	2956	369501	SH		SOLE		0	0	369501
ANADARKO PETE CORP	COM	032511107	303	4799	SH		SOLE		0	0	4799
ANNALY CAP MGMT INC	COM	035710409	1293	77742	SH		SOLE		0	0	77742
ANWORTH MORTGAGE ASSET CP	COM	037347101	8435	1240473	SH		SOLE		0	0	1240473
APACHE CORP	COM	037411105	245	3054	SH		SOLE		0	0	3054
ARGAN INC	COM	04010E109	17269	1696333	SH		SOLE		0	0	1696333
BARRICK GOLD CORP	COM	067901108	5260	112746	SH		SOLE		0	0	112746
BERKSHIRE HATHAWAY INC DEL	CL B NEW	084670702	2376	33445	SH		SOLE		0	0	33445
CHESAPEAKE MIDSTREAM PARTNER	UNIT	16524k108	6798	253271	SH		SOLE		0	0	253271
CHEVRON CORP NEW	COM	166764100	231	2500	SH		SOLE		0	0	2500

CHIMERA INVT CORP	COM	16934Q109	32	11700	SH	SOLE	0	0	11700
CLOROX CO DEL	COM	189054109	283	4263	SH	SOLE	0	0	4263
COCA COLA CO	COM	191216100	426	6300	SH	SOLE	0	0	6300
COLGATE PALMOLIVE CO	COM	194162103	355	4000	SH	SOLE	0	0	4000
CORE LABORATORIES N V	COM	N22717107	2170	24152	SH	SOLE	0	0	24152
CYS INVTs INC	COM	12673a108	1153	95347	SH	SOLE	0	0	95347
ENERGY TRANSFER EQUITY L P	COM UT LTD PTN	29273V100	11147	320513	SH	SOLE	0	0	320513
ENERGY TRANSFER PRTNRS L P	UNIT LTD PARTN	29273r109	246	6000	SH	SOLE	0	0	6000
ENTERPRISE PRODS PARTNERS L	COM	293792107	5237	130435	SH	SOLE	0	0	130435
ENVESTNET INC	COM	29404k106	3265	326526	SH	SOLE	0	0	326526
EOG RES INC	COM	26875p101	5162	72696	SH	SOLE	0	0	72696
EXXON MOBIL CORP	COM	30231G102	1197	16476	SH	SOLE	0	0	16476
FMC TECHNOLOGIES INC	COM	30249U101	2686	71438	SH	SOLE	0	0	71438
GENERAL ELECTRIC CO	COM	369604103	210	13809	SH	SOLE	0	0	13809
GOLDCORP INC NEW	COM	380956409	3456	75724	SH	SOLE	0	0	75724
HATTERAS FINL CORP	COM	41902r103	8080	321133	SH	SOLE	0	0	321133
INERGY L P	UNIT LTD PTNR	456615103	2969	118671	SH	SOLE	0	0	118671
INTERNATIONAL BUSINESS MACHS	COM	459200101	446	2552	SH	SOLE	0	0	2552
JOHNSON & JOHNSON	COM	478160104	2487	39052	SH	SOLE	0	0	39052
KEY TECHNOLOGY INC	COM	493143101	2611	224892	SH	SOLE	0	0	224892
MAGNUM HUNTER RES CORP DEL	COM	55973b102	44	13217	SH	SOLE	0	0	13217
MARKET VECTORS ETF TR	JR GOLD MINERS E	57060u589	1640	58247	SH	SOLE	0	0	58247
MARKET VECTORS ETF TR	AGRIBUS ETF	57060U605	1899	43938	SH	SOLE	0	0	43938
MERCK & CO INC NEW	COM	58933y105	518	15851	SH	SOLE	0	0	15851
MFA FINANCIAL INC	COM	55272x102	11294	1608887	SH	SOLE	0	0	1608887
MICROSOFT CORP	COM	594918104	382	15355	SH	SOLE	0	0	15355
MOSAIC CO NEW	COM	61945c103	6449	131703	SH	SOLE	0	0	131703
NEWMONT MINING CORP	COM	651639106	9303	147909	SH	SOLE	0	0	147909
NORTH AMERN ENERGY PARTNERS	COM	656844107	2730	469018	SH	SOLE	0	0	469018
PENN VA RESOURCES PARTNERS L	COM	707884102	5055	216138	SH	SOLE	0	0	216138
PEPSICO INC	COM	713448108	537	8675	SH	SOLE	0	0	8675
PETROQUEST ENERGY INC	COM	716748108	2102	382175	SH	SOLE	0	0	382175
PFIZER INC	COM	717081103	3278	185406	SH	SOLE	0	0	185406
PLAINS ALL AMERN PIPELINE L	UNIT LTD PARTN	726503105	7580	128647	SH	SOLE	0	0	128647
PROCTER & GAMBLE CO	COM	742718109	249	3942	SH	SOLE	0	0	3942
PROSHARES TR	PSHS ULSHT SP500	74347r883	1991	78111	SH	SOLE	0	0	78111
PROSHARES TR	PSHS ULSHRUS2000	74348a202	2558	43825	SH	SOLE	0	0	43825
QUEPASA CORP	COM NEW	74833w206	1187	345000	SH	SOLE	0	0	345000
REAVES UTIL INCOME FD	COM SH BEN INT	756158101	233	9536	SH	SOLE	0	0	9536
SCHLUMBERGER LTD	COM	806857108	2458	41147	SH	SOLE	0	0	41147
TIME WARNER CABLE INC	COM	88732j207	1932	30827	SH	SOLE	0	0	30827
TORTOISE ENERGY INFRSTRCTR C	COM	891471100	247	7267	SH	SOLE	0	0	7267
TRANSATLANTIC PETROLEUM LTD	SHS	g89982105	1096	1337145	SH	SOLE	0	0	1337145
URANIUM RES INC	COM PAR \$0.001	916901507	90	133000	SH	SOLE	0	0	133000
WIRELESS TELECOM GROUP INC	COM	976524108	1583	1978204	SH	SOLE	0	0	1978204
XFONE INC	COM	98414y109	1090	2533757	SH	SOLE	0	0	2533757

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