

SECURITIES AND EXCHANGE COMMISSION

FORM NSAR-B

Annual report for management companies filed on Form N-SAR

Filing Date: **1996-12-30** | Period of Report: **1996-10-31**
SEC Accession No. **0000891093-96-002818**

([HTML Version](#) on secdatabase.com)

FILER

VAN KAMPEN AMERICAN CAPITAL TRUST FOR INVT GRADE NEW YORK MU

CIK: **883265** | IRS No.: **366981632** | State of Incorpor.: **MA** | Fiscal Year End: **1031**
Type: **NSAR-B** | Act: **40** | File No.: **811-06537** | Film No.: **96687646**

Business Address
*ONE PARKVIEW PLZ
OAKBROOK TERRACE IL
60181
7086846000*

PAGE 1
000 B000000 10/31/96
000 C000000 883265
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 3.0
000 J000000 A
001 A000000 VKAC TR FOR INV GRADE NEW YORK MUNICIPALS
001 B000000 811-6537
001 C000000 6306846000
002 A000000 ONE PARKVIEW PLAZA
002 B000000 OAKBROOK TERRACE
002 C000000 IL
002 D010000 60181
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
007 C010100 1
007 C010200 2
007 C010300 3
007 C010400 4
007 C010500 5
007 C010600 6
007 C010700 7
007 C010800 8
007 C010900 9
007 C011000 10
008 A000001 VAN KAMPEN AMERICAN CAPITAL INVESTMENT ADVISO
008 B000001 A
008 C000001 801-18161
008 D010001 OAKBROOK TERRACE
008 D020001 IL
008 D030001 60181
010 A000001 VAN KAMPEN AMERICAN CAPITAL DISTRIBUTORS, INC
010 B000001 8-19412
010 C010001 OAKBROOK TERRACE
010 C020001 IL
010 C030001 60181
011 A000001 VAN KAMPEN AMERICAN CAPITAL DISTRIBUTORS, INC
011 B000001 8-19412
011 C010001 OAKBROOK TERRACE
011 C020001 IL
011 C030001 60181

011 A000002 GOLDMAN SACHS
011 B000002 8-129
011 C010002 NEW YORK

PAGE 2

011 C020002 NY
011 C030002 10004
011 A000003 SMITH BARNEY
011 B000003 8-8177
011 C010003 NEW YORK
011 C020003 NY
011 C030003 10105
012 A000001 BOSTON EQUISERVE LP
012 B000001 85-5003
012 C010001 BOSTON
012 C020001 MA
012 C030001 02105
013 A000001 KPMG PEAT MARWICK,LLP
013 B010001 CHICAGO
013 B020001 IL
013 B030001 60601
015 A000001 STATE STREET BANK AND TRUST COMPANY
015 B000001 C
015 C010001 BOSTON
015 C020001 MA
015 C030001 02266
015 E010001 X
015 A000002 BANKERS TRUST COMPANY
015 B000002 S
015 C010002 NEW YORK
015 C020002 NY
015 C030002 10015
015 E010002 X
015 A000003 CHEMICAL BANK
015 B000003 S
015 C010003 NEW YORK
015 C020003 NY
015 C030003 10172
015 E010003 X
015 A000004 THE BANK OF NEW YORK
015 B000004 S
015 C010004 NEW YORK
015 C020004 NY
015 C030004 10286
015 E010004 X
015 A000005 MORGAN GUARANTY TRUST COMPANY
015 B000005 S
015 C010005 NEW YORK
015 C020005 NY
015 C030005 10015
015 E010005 X

018 000000 Y
019 A000000 Y
019 B000000 101
019 C000000 VANKAMPENA
020 C000001 0

PAGE 3

020 C000002 0
020 C000003 0
020 C000004 0
020 C000005 0
020 C000006 0
020 C000007 0
020 C000008 0
020 C000009 0
020 C000010 0
021 000000 0
022 A000001 MERRILL LYNCH
022 B000001 13-5674085
022 C000001 10791
022 D000001 10125
022 A000002 LEHMAN BROTHERS
022 B000002 13-2518466
022 C000002 6778
022 D000002 4900
022 A000003 CHEMICAL SECURITIES
022 B000003 13-3379014
022 C000003 4800
022 D000003 6679
022 A000004 PAINE WEBBER
022 B000004 13-2638166
022 C000004 3128
022 D000004 5898
022 A000005 WILLIAM SIMON
022 B000005 22-3017217
022 C000005 2241
022 D000005 6058
022 A000006 PRUDENTIAL SECURITIES
022 B000006 22-2347336
022 C000006 3754
022 D000006 3753
022 A000007 SMITH BARNEY
022 B000007 13-1912900
022 C000007 2900
022 D000007 2900
022 A000008 BEAR STEARNS
022 B000008 13-3299429
022 C000008 3317
022 D000008 1369
022 A000009 REGIONAL OPERATIONS GROUP
022 B000009 41-1416330

022 C000009 2113
022 D000009 1035
022 A000010 SHATTUCK HAMMOND
022 B000010 13-3707267
022 C000010 2485
022 D000010 0
023 C000000 47244

PAGE 4

023 D000000 43748
024 000000 N
025 D000001 0
025 D000002 0
025 D000003 0
025 D000004 0
025 D000005 0
025 D000006 0
025 D000007 0
025 D000008 0
026 A000000 N
026 B000000 Y
026 C000000 N
026 D000000 Y
026 E000000 N
026 F000000 N
026 G010000 N
026 G020000 N
026 H000000 N
027 000000 N
028 A010000 0
028 A020000 0
028 A030000 0
028 A040000 0
028 B010000 0
028 B020000 0
028 B030000 0
028 B040000 0
028 C010000 0
028 C020000 0
028 C030000 0
028 C040000 0
028 D010000 0
028 D020000 0
028 D030000 0
028 D040000 0
028 E010000 0
028 E020000 0
028 E030000 0
028 E040000 0
028 F010000 0
028 F020000 0

028	F030000	0
028	F040000	0
028	G010000	0
028	G020000	0
028	G030000	0
028	G040000	0
028	H000000	0
029	000000	N
030	A000000	0

PAGE 5

030	B000000	0.00
030	C000000	0.00
031	A000000	0
031	B000000	0
032	000000	0
033	000000	0
035	000000	0
036	B000000	0
038	000000	0
042	A000000	0
042	B000000	0
042	C000000	0
042	D000000	0
042	E000000	0
042	F000000	0
042	G000000	0
042	H000000	0
043	000000	0
044	000000	0
045	000000	Y
046	000000	N
047	000000	Y
048	000000	0.650
048	A010000	0
048	A020000	0.000
048	B010000	0
048	B020000	0.000
048	C010000	0
048	C020000	0.000
048	D010000	0
048	D020000	0.000
048	E010000	0
048	E020000	0.000
048	F010000	0
048	F020000	0.000
048	G010000	0
048	G020000	0.000
048	H010000	0
048	H020000	0.000
048	I010000	0

048 I020000 0.000
048 J010000 0
048 J020000 0.000
048 K010000 0
048 K020000 0.000
049 000000 N
050 000000 N
051 000000 N
052 000000 N
053 A000000 N
054 A000000 Y

PAGE 6

054 B000000 N
054 C000000 N
054 D000000 N
054 E000000 N
054 F000000 N
054 G000000 Y
054 H000000 N
054 I000000 Y
054 J000000 N
054 K000000 N
054 L000000 N
054 M000000 N
054 N000000 N
054 O000000 N
055 A000000 N
055 B000000 N
056 000000 Y
057 000000 N
058 A000000 N
059 000000 Y
060 A000000 N
060 B000000 N
061 000000 0
062 A000000 Y
062 B000000 0.0
062 C000000 0.0
062 D000000 0.0
062 E000000 0.0
062 F000000 0.0
062 G000000 0.0
062 H000000 0.0
062 I000000 0.0
062 J000000 0.0
062 K000000 0.0
062 L000000 0.0
062 M000000 0.0
062 N000000 0.0
062 O000000 98.9

062 P000000 0.0
062 Q000000 0.0
062 R000000 0.0
063 A000000 0
063 B000000 18.7
064 A000000 N
066 A000000 N
067 000000 N
068 A000000 N
068 B000000 N
069 000000 N
070 A010000 N
070 A020000 N

PAGE 7

070 B010000 N
070 B020000 N
070 C010000 Y
070 C020000 N
070 D010000 N
070 D020000 N
070 E010000 Y
070 E020000 N
070 F010000 N
070 F020000 N
070 G010000 Y
070 G020000 N
070 H010000 N
070 H020000 N
070 I010000 N
070 I020000 N
070 J010000 Y
070 J020000 N
070 K010000 Y
070 K020000 N
070 L010000 N
070 L020000 N
070 M010000 N
070 M020000 N
070 N010000 Y
070 N020000 N
070 O010000 Y
070 O020000 N
070 P010000 Y
070 P020000 N
070 Q010000 N
070 Q020000 N
070 R010000 N
070 R020000 N
071 A000000 31769
071 B000000 32137

071	C000000	160328
071	D000000	20
072	A000000	12
072	B000000	9830
072	C000000	0
072	D000000	0
072	E000000	0
072	F000000	1052
072	G000000	324
072	H000000	0
072	I000000	34
072	J000000	47
072	K000000	0
072	L000000	13
072	M000000	25

PAGE 8

072	N000000	0
072	O000000	0
072	P000000	0
072	Q000000	0
072	R000000	38
072	S000000	11
072	T000000	0
072	U000000	5
072	V000000	0
072	W000000	209
072	X000000	1758
072	Y000000	0
072	Z000000	8072
072AA	000000	340
072BB	000000	0
072CC	010000	266
072CC	020000	0
072DD	010000	5581
072DD	020000	0
072EE	000000	0
073	A010000	.900
073	A020000	0.0000
073	B000000	0.0000
073	C000000	0.0000
074	A000000	0
074	B000000	0
074	C000000	0
074	D000000	161391
074	E000000	0
074	F000000	0
074	G000000	0
074	H000000	0
074	I000000	0
074	J000000	270

074	K000000	0
074	L000000	2379
074	M000000	4
074	N000000	164044
074	O000000	0
074	P000000	119
074	Q000000	0
074	R010000	0
074	R020000	0
074	R030000	0
074	R040000	772
074	S000000	60000
074	T000000	103153
074	U010000	6201
074	U020000	0
074	V010000	16.63
074	V020000	0.00

PAGE 9

074	W000000	0.0000
074	X000000	791
074	Y000000	0
075	A000000	0
075	B000000	161901
076	000000	14.625
077	A000000	Y
077	B000000	Y
077	C000000	Y
078	000000	N
080	A000000	ICI MUTUAL INSURANCE
080	C000000	65000
081	A000000	Y
081	B000000	103
082	A000000	Y
082	B000000	10000
083	A000000	N
083	B000000	0
084	A000000	N
084	B000000	0
085	A000000	Y
085	B000000	N
086	A010000	0
086	A020000	0
086	B010000	0
086	B020000	0
086	C010000	0
086	C020000	0
086	D010000	0
086	D020000	0
086	E010000	0
086	E020000	0

086 F010000 0
086 F020000 0
087 A010000 COMMON
087 A020000 920931-102
087 A030000 VTN
087 B010000 PREFERRED
087 B020000 929031-201
087 B030000 N/A
088 A000000 N
088 B000000 N
088 C000000 N
088 D000000 Y
SIGNATURE DENNIS J MCDONNELL
TITLE PRES, CEO & TRUSTEE

KPMG Peat Marwick LLP

Peat Marwick Plaza
303 East Wacker Drive
Chicago, IL 60601-5255

Telephone 312 938 1000

Telefax 312 938 0449
312 938 3959

The Board of Trustees and Shareholders of
Van Kampen American Capital Trust for Investment Grade New York Municipals:

In planning and performing our audit of the financial statements of Van Kampen American Capital Trust for Investment Grade New York Municipals (the "Trust") for the year ended October 31, 1996, we considered its internal control structure, including procedures for safeguarding securities, in order to determine our auditing procedures for the purpose of expressing our opinion on the financial statements and to comply with the requirements of Form N-SAR, not to provide assurance on the internal control structure.

The management of the Trust is responsible for establishing and maintaining an internal control structure. In fulfilling this responsibility, estimates and judgments by management are required to assess the expected benefits and related costs of internal control structure policies and procedures. Two of the objectives of an internal control structure are to provide management with reasonable, but not absolute, assurance that assets are safeguarded against loss from unauthorized use or disposition and that transactions are executed in accordance with management's authorization and recorded properly to permit the preparation of financial statements in accordance with generally accepted accounting principles.

Because of inherent limitations in any system of internal control structure, errors or irregularities may occur and not be detected. Also, projection of any evaluation of the structure to future periods is subject to the risk that it may become inadequate because of changes in conditions or that the effectiveness of the design and operation may deteriorate.

Our consideration of the internal control structure would not necessarily disclose all matters in the internal control structure that might be material weaknesses under standards established by the American Institute of Certified Public Accountants. A material weakness is a condition in which the design or operation of the specific internal control structure elements does not reduce to a relatively low level the risk that errors or irregularities in amounts that would be material in relation to the financial statements being audited may occur and not be detected within a timely period by employees in the normal course of performing their assigned functions. However, we noted no matters involving the internal control structure, including procedures for

safeguarding securities, that we consider to be material weaknesses as defined above as of October 31, 1996.

This report is intended solely for the information and use of management and the Securities and Exchange Commission.

KPMG Peat Markwick LLP

December 4, 1996

Member Firm of
Klynveld Peat Markwick Goerdeler

NSAR ITEM 77C

Van Kampen American Capital Trust for Investment Grade New York Municipals

(a) A Special Meeting of Shareholders was held on October 23, 1996.

(b) The election of Trustees of Van Kampen American Capital Trust for Investment Grade New York Municipals (the "Fund") included:

None

(c) The following were voted on at the meeting:

(1) Approval of New Investment Advisory Agreement in the event of a change of control for the Adviser.

For	4,568,401	Against	92,513
-----	-----------	---------	--------

(2) Inapplicable

(3) Approval of changes to Fundamental Investment Policies with respect to investments in other investment companies.

For	1,835,511	Against	127,691
-----	-----------	---------	---------

(4) Inapplicable

<TABLE> <S> <C>

<ARTICLE> 6

<SERIES>

<NUMBER> 01

<NAME> VKAC Trust for Investment Grade New York

<MULTIPLIER> 1

<CAPTION>

<S>

<C>

<PERIOD-TYPE>

YEAR

<FISCAL-YEAR-END> OCT-31-1996

<PERIOD-START> NOV-01-1995

<PERIOD-END> OCT-31-1996

<INVESTMENTS-AT-COST> 150448352

<INVESTMENTS-AT-VALUE> 161390561

<RECEIVABLES> 2649582

<ASSETS-OTHER> 4321

<OTHER-ITEMS-ASSETS> 0

<TOTAL-ASSETS> 164044464

<PAYABLE-FOR-SECURITIES> 0

<SENIOR-LONG-TERM-DEBT> 0

<OTHER-ITEMS-LIABILITIES> 891348

<TOTAL-LIABILITIES> 891348

<SENIOR-EQUITY> 60000000

<PAID-IN-CAPITAL-COMMON> 91294026

<SHARES-COMMON-STOCK> 6200987

<SHARES-COMMON-PRIOR> 6200987

<ACCUMULATED-NII-CURRENT> 643377

<OVERDISTRIBUTION-NII> 0

<ACCUMULATED-NET-GAINS> 273504

<OVERDISTRIBUTION-GAINS> 0

<ACCUM-APPREC-OR-DEPREC> 10942209

<NET-ASSETS> 163153116

<DIVIDEND-INCOME> 0

<INTEREST-INCOME> 9829983

<OTHER-INCOME> 0

<EXPENSES-NET> 1758228

<NET-INVESTMENT-INCOME> 8071755

<REALIZED-GAINS-CURRENT> 339887

<APPREC-INCREASE-CURRENT> 265699

<NET-CHANGE-FROM-OPS> 8677341

<EQUALIZATION> 0

<DISTRIBUTIONS-OF-INCOME> (7760442)

<DISTRIBUTIONS-OF-GAINS> 0

<DISTRIBUTIONS-OTHER> 0

<NUMBER-OF-SHARES-SOLD> 0

<NUMBER-OF-SHARES-REDEEMED> 0

<SHARES-REINVESTED> 0

<NET-CHANGE-IN-ASSETS>	916899
<ACCUMULATED-NII-PRIOR>	332064
<ACCUMULATED-GAINS-PRIOR>	(66383)
<OVERDISTRIB-NII-PRIOR>	0
<OVERDIST-NET-GAINS-PRIOR>	0
<GROSS-ADVISORY-FEES>	1052341
<INTEREST-EXPENSE>	0
<GROSS-EXPENSE>	1758228
<AVERAGE-NET-ASSETS>	101900749
<PER-SHARE-NAV-BEGIN>	16.487
<PER-SHARE-NII>	1.302
<PER-SHARE-GAIN-APPREC>	.097
<PER-SHARE-DIVIDEND>	(1.252)
<PER-SHARE-DISTRIBUTIONS>	0
<RETURNS-OF-CAPITAL>	0
<PER-SHARE-NAV-END>	16.634
<EXPENSE-RATIO>	1.73
<AVG-DEBT-OUTSTANDING>	0
<AVG-DEBT-PER-SHARE>	0

</TABLE>