

SECURITIES AND EXCHANGE COMMISSION

FORM 4

Statement of changes in beneficial ownership of securities

Filing Date: **2013-01-09** | Period of Report: **2012-01-08**
SEC Accession No. [0001225208-13-001188](#)

(HTML Version on secdatabase.com)

REPORTING OWNER

Matecki Paul

CIK: **1301740**

Type: **4** | Act: **34** | File No.: **001-09109** | Film No.: **13519666**

Mailing Address

*880 CARILLON PARKWAY
ST. PETERSBURG FL 33716*

ISSUER

RAYMOND JAMES FINANCIAL INC

CIK: **720005** | IRS No.: **591517485** | State of Incorporation: **FL** | Fiscal Year End: **0930**
SIC: **6211** Security brokers, dealers & flotation companies

Mailing Address

*P O BOX 12749
ST. PETERSBURG FL 33716*

Business Address

*880 CARILLON PKWY
P O BOX 12749
ST PETERSBURG FL 33716
727-567-1000*

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: 02/28/2011
Estimated average burden hours per response 0.5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person Matecki Paul			2. Issuer Name and Ticker or Trading Symbol RAYMOND JAMES FINANCIAL INC [RJF]			5. Relationship of Reporting Person(s) to Issuer (Check all applicable) <input type="checkbox"/> Director <input type="checkbox"/> 10% Owner <input checked="" type="checkbox"/> Officer (give title below) <input type="checkbox"/> Other (specify below) Sr. VP - General Counsel		
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 01/08/2012			6. Individual or Joint/Group Filing (Check applicable line) <input checked="" type="checkbox"/> Form Filed by One Reporting Person <input type="checkbox"/> Form Filed by More than One Reporting Person		
880 CARILLON PARKWAY			4. If Amendment, Date Original Filed(Month/Day/Year)					
(Street) ST. PETERSBURG, FL 33716								
(City)			(State)	(Zip)				

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D) Price			
Common Stock	01/08/2012		M		5,000	A \$30.44	34,702	D	
Common Stock	01/08/2013		F		3,711	D \$41.01	30,321	D	
Common Stock							8,873 ⁽¹⁾	I	ESOP

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	V	(A)	(D)	Date Exercisable	Expiration Date						Title
Employee Stock Option (right to buy)	\$30.44	01/08/2012		M		5,000		11/27/2010	01/27/2013	Common Stock	5,000	\$ 0	0	D	

Employee Stock Option (right to buy)	\$27.1						(2)	01/22/2017	Common Stock	6,500		6,500	D	
Employee Stock Option (right to buy)	\$25.28						(3)	01/24/2015	Common Stock	5,500		5,500	D	
Employee Stock Option (right to buy)	\$37.87						(4)	11/29/2019	Common Stock	4,000		4,000	D	
Restricted Stock Units	(5)							12/07/2015	12/07/2015	Common Stock	391		391	D
Restricted Stock Units	(5)							12/15/2013	12/15/2013	Common Stock	121		121	D
Restricted Stock Units	(5)							12/15/2014	12/15/2014	Common Stock	381		381	D

Explanation of Responses:

1. Includes number of shares acquired under ESOP through January 7, 2013.
2. The stock option vests 60% on 11/22/2014, 20% on 11/22/2015 and 20% on 11/22/2016.
3. Options currently exercisable - 3,300; options becoming exercisable - 1,100 on 11/24/2013 and 1,100 on 11/24/2014
4. The stock option vests 60% on 11/29/2015, 20% on 11/29/2016, and 20% on 11/29/2017.
5. Each restricted stock unit represents a contingent right to receive one share of RJF common stock upon vesting and dividend equivalents.

Signatures

/s/ Paul L. Matecki

** Signature of Reporting Person

01/09/2013

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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