SECURITIES AND EXCHANGE COMMISSION

FORM 5

Annual statement of changes in beneficial ownership of securities

Filing Date: 2013-01-09 | Period of Report: 2012-11-30 SEC Accession No. 0001140361-13-001559

(HTML Version on secdatabase.com)

REPORTING OWNER

BIRZER H KEVIN

CIK:1280969

Type: 5 | Act: 34 | File No.: 811-22585 | Film No.: 13520884

Mailing Address 180801 MASTIN BLVD OVERLAND PARK KS 66210

ISSUER

TORTOISE PIPELINE & ENERGY FUND, INC.

CIK:1526329| IRS No.: 452785066 | State of Incorp.:MD | Fiscal Year End: 1130

Mailing Address 11550 ASH STREET, SUITE 11550 ASH STREET, SUITE LEAWOOD KS 66211

LEAWOOD KS 66211 913-981-1020

Business Address

FORM 5

- Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).
- ☐ Form 3 Holdings Reported
- ☐ Form 4 Transactions Reported

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL											
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ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address o			2. Issuer Name and Ticker or Trading Symbol TORTOISE PIPELINE & ENERGY FUND, INC. [TTP]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner Officer (give title Other (specify below) below)				
(Last)	(First)	(Middle)	Statement for Issuer's Fiscal Year Ended (Month/Day/ Year)	below)				
11550 ASH STREET	Γ, SUITE 300		11/30/2012					
LEAWOOD, KS 662	(Street)		4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Reporting (Check applicable line) X Form Filed by One Reporting Person Form Filed by More than One Reporting Person				
(City)	(State)	(Zip)						

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)	2. Transaction Date (Month/ Day/Year)	2A. Deemed Execution Date, if any (Month/ Day/Year)	3. Transaction Code (Instr. 8)	Disposed of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	Form: Direct (D) or Indirect (I) (Instr.	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Shares	12/20/2011		<u>G</u>	100	D	\$ 0	4,703.331 ⁽¹⁾	D	
Common Shares							520.332 ⁽²⁾	I	By spouse as custodian of childrens' accounts.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

(c.g., pate, cane, warrante, optione, convertible occurred)														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security		3A. Deemed Execution Date, if any (Month/ Day/ Year)	4. Transaction Code (Instr. 8)	of Deriv Secu Acqu (A) o Dispo of (D (Instr	and Expiration Date (Month/Day/Year) erivative ecurities cquired (A) or isposed		7. Title Amount Securiti Underly Derivat Security and 4)	t of ies ving	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	Derivative Security: Direct (D) or Indirect	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
					(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

- 1. Includes 203.331 shares acquired under the Tortoise Pipeline & Energy Fund, Inc. dividend reinvestment plan.
- 2. Includes 20.332 shares acquired under the Tortoise Pipeline & Energy Fund, Inc. dividend reinvestment plan. Excludes shares held by adult child no longer living at home.

Signatures

<u>H. Kevin Birzer</u> <u>01/08/2013</u>

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a). Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.