SECURITIES AND EXCHANGE COMMISSION

FORM 4

Statement of changes in beneficial ownership of securities

Filing Date: 2023-04-07 | Period of Report: 2023-04-06 **SEC Accession No.** 0001209191-23-023812

(HTML Version on secdatabase.com)

REPORTING OWNER

Klimmer Christoph

CIK:1943674

Type: 4 | Act: 34 | File No.: 001-38828 | Film No.: 23809105

Mailing Address 177 HUNTINGTON AVENUE **SUITE 1703**

BOSTON MA 02115

ISSUER

SEACHANGE INTERNATIONAL INC

CIK:1019671| IRS No.: 043197974 | State of Incorp.:DE | Fiscal Year End: 0131 SIC: 7372 Prepackaged software

Mailing Address SUITE 1703 PMB 73480 BOSTON MA 02115-3153

Business Address 177 HUNTINGTON AVENUE, 177 HUNTINGTON AVENUE, **SUITE 1703** PMB 73480 BOSTON MA 02115-3153 9788970100

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

| OMB APPROVAL | | | | | | | | | | | |
|---------------------|------------|--|--|--|--|--|--|--|--|--|--|
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Addres Klimmer Chris | | son [*] | 2. Issuer Name and Ticker or Trading Symbol SEACHANGE INTERNATIONAL INC [SEAC] | Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner Other (specify below) |
|----------------------------------|-------------|------------------|--|---|
| (Last) | (First) | (Middle) | 3. Date of Earliest Transaction (Month/Day/Year) 04/06/2023 | President |
| C/O SEACHANG | E INTERNATI | ONAL, | | |
| INC., 177 HUNT | INGTON AVE, | STE 1703, PMB | | |
| 73480 | | | | |
| | (Street) | | 4. If Amendment, Date Original Filed(Month/Day/Year) | 6. Individual or Joint/Group Filing (Check applicable line) |
| BOSTON, MA 02115-3153 | | | | Form Filed by One Reporting Person Form Filed by More than One Reporting Person |
| (City) | (State) | (Zip) | | |

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

|] | 2. Transaction Date (Month/ Day/Year) | Deemed | | | Disposed of (D) (Instr. 3, 4 and 5) | | | Securities Beneficially Owned | Ownership | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|----------------|--|--------|----------|---|-------------------------------------|------------------|-------|-------------------------------------|-----------|---|
| | | | Code | V | | (A) or (D) | Price | | | |
| Common Stock (| 04/06/2023 | | <u>A</u> | | 95,745 ⁽¹⁾ | A | \$ 0 | 395,745 | D | |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 3. Transaction Date (Month/ Day/Year) | 3A. Deemed Execution Date, if any (Month/ Day/ Year) | 4. Transa Code (Instr. 8 | | of | rative rities ired r osed) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | Securitie Underlyi Derivativ | Amount of Securities Underlying Derivative Security (Instr. 3 | | 9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | Derivative Security: Direct (D) or Indirect | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---|---|--|-----------------------------------|---|-----|--|--|--------------------|------------------------------------|---|--|--|--|--|
| | | | Code | v | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | | | |

Explanation of Responses:

1. Represents restricted stock units ("RSUs") issued pursuant to SeaChange International Inc.'s (the "Company") Amended and Restated 2021 Compensation and Incentive Plan. The shares subject to the RSU award will vest in three equal installments on each of April 6, 2023, April 6, 2024 and April 6, 2025. In the event of a change in control of the Company, these RSUs shall vest in their entirety immediately before the change in control of the Company.

Signatures

/s/ Christoph Klimmer

04/07/2023

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a). Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.