

SECURITIES AND EXCHANGE COMMISSION

FORM 4

Statement of changes in beneficial ownership of securities

Filing Date: **2018-10-02** | Period of Report: **2018-09-30**

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REPORTING OWNER

Adams Katherine L.

CIK: [1462356](#)

Type: **4** | Act: **34** | File No.: [001-36743](#) | Film No.: **181103063**

Mailing Address

APPLE INC.

1 INFINITE LOOP

CUPERTINO CA 95014

ISSUER

APPLE INC

CIK: [320193](#) | IRS No.: **942404110** | State of Incorporation: **CA** | Fiscal Year End: **0930**

SIC: [3571](#) Electronic computers

Mailing Address

ONE APPLE PARK WAY

CUPERTINO CA 95014

Business Address

ONE APPLE PARK WAY

CUPERTINO CA 95014

(408) 996-1010

FORM 4

☐ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**UNITED STATES SECURITIES AND EXCHANGE
COMMISSION**

Washington, D.C. 20549

OMB APPROVAL

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**STATEMENT OF CHANGES IN BENEFICIAL
OWNERSHIP**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person <u>Adams Katherine L.</u>			2. Issuer Name and Ticker or Trading Symbol <u>APPLE INC [AAPL]</u>		5. Relationship of Reporting Person(s) to Issuer (Check all applicable) ☐ Director ☐ 10% Owner ☒ Officer (give title below) ☐ Other (specify below) <u>SVP, GC and Secretary</u>	
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) <u>09/30/2018</u>			
ONE APPLE PARK WAY						
(Street)			4. If Amendment, Date Original Filed(Month/Day/Year)		6. Individual or Joint/Group Filing (Check applicable line) ☒ Form Filed by One Reporting Person ☐ Form Filed by More than One Reporting Person	
CUPERTINO, CA 95014						
(City)	(State)	(Zip)				

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Restricted Stock Unit	(I)	09/30/2018		A		44,299		(2)	(2)	Common Stock	44,299	\$ 0	44,299	D	
Restricted Stock Unit	(I)	09/30/2018		A	V	44,299		(3)	(3)	Common Stock	44,299	\$ 0	44,299	D	

Explanation of Responses:

- Each restricted stock unit represents the right to receive, at settlement, one share of common stock.
- These restricted stock units are scheduled to vest as to one-third of the units on each of April 1, 2021, April 1, 2022 and April 1, 2023, assuming continued employment through the applicable vesting date.

3. Vesting of these restricted stock units depends on Apple's relative total shareholder return from the first day of Apple's fiscal 2019 and ending with the last day of Apple's fiscal 2021. The "target" number of restricted stock units is reported. Between 0% and 200% of the target number of units may vest on October 1, 2021, with the vesting percentage determined based on actual performance.

Signatures

/s/ Sam Whittington, Attorney-in-Fact for Katherine L. Adams

** Signature of Reporting Person

10/02/2018

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.